

12/13/00  
1c965 U.S. PTO

12.14-00

PTO/SB/05 (2/98)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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Please type a plus sign (+) inside this box → ☒

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. PC9344BRTR  
First Named Inventor or Application Identifier HuaZhu Ke  
Title Combination Therapy for Osteoporosis  
Express Mail Label No.

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

(Only for new nonprovisional applications under 37 C.F.R. §1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
- ☒ Specification [Total Pages 70]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference in Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☐ Drawing(s) (35 U.S.C. 11.3) [Total sheets ]
- ☒ Oath or Declaration [Total pages 3]
  - ☐ Newly executed (original or copy)
  - ☒ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).
- ☒ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- ADDRESS TO:
- ☐ Microfiche Computer Program (Appendix)
  - ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
    - ☐ Computer Readable Copy
    - ☐ Paper Copy (identical to computer copy)
    - ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ \*Small Entity Statement(s) (PTO/SB/09-12) ☐ Statement filed in prior application, Status still proper and desired
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Other:

\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)  
Prior application information: Examiner \_\_\_\_\_ of prior application No: 09/ 117,972  
Group/Art Unit: \_\_\_\_\_
18. CORRESPONDENCE ADDRESS  
(Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

<input type="checkbox"/> Customer Number or Bar Code Label			
Name	Gregg C. Benson		
Address	Pfizer Inc.		
Address	Patent Department, MS 4159, Eastern Point Road	State	CT
City	Groton	Telephone	1-(860)-441-4901
Country	United States Of America	Registration No. (Attorney/Agent)	36,257
NAME (Print/type)	Robert T. Ronau		
Signature	<i>Robert T. Ronau</i>		
Date	12/15/00		

EXPRESS MAIL NO. EL 633949125US

UTILITY TRANSMITTAL PTO SB 05, 9/99, (1/1)

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.  
These are the fees effective January, 2000.

Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

Total Amount of Payment (\$3,250.00)

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	HuaZhu Ke
Examiner Name	To Be Assigned
Group/Art Unit	To Be Assigned
Attorney Docket No.	PC9433BRTR

## METHOD OF PAYMENT (check one)

1. ☒ The commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 16-1445

Deposit Account Name:

☐ Charge Any Additional 37 Fee Required Under C.F.R. §§ 1.1.6 and 1.17.

☐ Charge the Issue Fee Set in 37 C.F.R. § 1.1.8 at the Mailing of the Notice of Allowance.

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	345	Utility filing fee	710.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)					710.00

### 2. EXTRA CLAIM FEES

Total Claims: 90 - 20\*\* = 70 x 18 = 1,260.00

Independent Claims: 19 - 3\*\* = 16 x 80 = 1,280.00

Multiple Dependent: =

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	80	202	39	Independent claims in excess of 3	
104	270	204	130	Multiple dependent claim, if not paid	
109	78	209	39	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$)	2,540.00

### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
149	390	249	345	For each additional invention to be examined (37 CFR 1.129(b))	
Other Fee (specify)					
Other Fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$)					

## SUBMITTED BY

Type or Printed Name: Robert T. Ronau

Signature: *Robert T. Ronau*

Date: 12/13/00

Complete (if Applicable)

Reg. Number: 36,257

Deposit Account: 16-1445

User ID:

EXPRESS MAIL NO. 96633949125US